

# CO2412 Computational Thinking

Tutorial problems Implementing graph data structures

Where opportunity creates success



Trees as a special kind of graph, and graphs as a generalization of trees





#### Depth of a tree and (re-)balancing of a search tree

To **search for an element** or to **insert a new element**, links have to be followed downward. The number of these links is bounded by the **depth of the tree**.





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Traversal of trees and graphs: Binary search trees



#### **Traversal algorithm:**

- traverse the left
   subordinate branch
   (if there is one)
- visit the root node
- traverse the right subordinate branch (if there is one)



#### Traversal of trees and graphs: Depth-first search and breadth-first search

DFS always proceeds from the most recently detected node (LIFO). BFS always proceeds from the node that was detected earliest (FIFO).

depth-first search (DFS)



breadth-first search (BFS)





#### Traversal of trees and graphs: Depth-first search and breadth-first search

DFS always proceeds from the most recently detected node (LIFO). BFS always proceeds from the node that was detected earliest (FIFO).

depth-first search (DFS)



breadth-first search (BFS)



Note: Only elements to which there is a path from the initial node can be found.CO24127th December 20218



# **Related concept: Spanning tree**

A graph that is not a tree can be reduced to a tree by eliminating edges. Such a tree is called a **spanning tree** if it **covers all nodes**. When needed, this is often done by DFS or BFS, retaining only the edges followed for visiting nodes.



This construction is only feasible if there are paths to all nodes from the root.

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# **Tutorial problems**

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def natmatch(x, y):

for i in range(len(x)):

```
for j in range(i+1, len(x)):
```

```
if (x[i]+x[j] == y) and (x[i] != x[j]):
```

```
return [x[i], x[j]]
```

return []

Note: Input size *n* given by len(x)

loop executed O(n) times:

- loop executed O(n) times:
  - O(1) instructions
  - O(1) optional instructions

O(1) optional instructions

 $O(n) \cdot O(n \cdot 1) + O(1) = O(n^2)$  instructions

 $O(n^2)$  time efficiency



Improved algorithm implemented by Harry Rowan:

```
def natmatch(x, y):
  mydict = {}
  for i in range(len(x)):
    c = y - x[i]
    if c in mydict:
        return [c, x[i]]
        mydict[x[i]] = i
    return []
```

Python dictionaries and sets could be used to this effect equivalently.

Example, **x** = [6, 4, 5, 3, 9], y = 11:

- 6 → 11 6 = 5 not found in storage insert 6 into storage
- 4 → 11 4 = 7 not found in storage insert 4 into storage
- $5 \rightarrow 11 5 = 6$  found in storage return [6, 5]



Improved algorithm implemented by Harry Rowan:

```
def natmatch(x, y):
  mydict = {}
  for i in range(len(x)):
    c = y - x[i]
    if c in mydict:
        return [c, x[i]]
        mydict[x[i]] = i
    return []
```

Python dictionaries and sets are implemented as dynamically resized **hash tables**:



Fig. from Wikipedia, "Hash table"

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Improved algorithm implemented by Harry Rowan; worst case still  $O(n^2)$ :

```
def natmatch(x, y):
  mydict = {}
  for i in range(len(x)):
    c = y - x[i]
    if c in mydict:
        return [c, x[i]]
        mydict[x[i]] = i
    return []
```

In the worst case, this data structure has O(n) time for search and insertion. For the average case, it is highly efficient. Python dictionaries and sets are implemented as dynamically resized **hash tables**:



Fig. from Wikipedia, "Hash table"



Improved algorithm implemented by Harry Rowan:

def natmatch(x, y):
 initialize empty storage
 for i in range(len(x)):
 c = y - x[i]
 if storage.contains(c):
 return [c, x[i]]
 storage.insert(x[i])
 return []

O(n) loop operations.

Each with one **search** operation and one **insertion** operation.

What is the time efficiency? How does it depend on the employed data structure?

Example, **x** = [6, 4, 5, 3, 9], y = 11:

- 6 → 11 6 = 5 not found in storage insert 6 into storage
- 4 → 11 4 = 7 not found in storage insert 4 into storage
  - → 11 5 = 6 found in storage return [6, 5]

5



Improved algorithm implemented by Harry Rowan:

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def natmatch(x, y):
    initialize empty storage
    for i in range(len(x)):
```

```
c = y - x[i]

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return [c, x[i]]

storage.insert(x[i])

return []
```

O(n) loop operations.

Each with one **search** operation and one **insertion** operation.

What is the time efficiency? How does it depend on the employed data structure? How about:

- Unsorted linked list or dyn. array?
- A sorted dynamic array?
- A sorted linked list or an unbalanced search tree?
- A balanced search tree?
- Python sets or dicts?

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```
def natmatch(x, y):
```

initialize empty storage
for i in range(len(x)):
 c = y - x[i]
 if storage.contains(c):
 return [c, x[i]]
 storage.insert(x[i])
return []

O(n) loop operations.

Each with one **search (s)** operation and one **insertion (i)** operation.

What is the time efficiency? How does it depend on the employed data structure?

How about:

- Unsorted linked list or dyn. array?
   s done in O(n), i done in O(1).
- A sorted dynamic array?
   s done in O(log n), i done in O(n).
- A sorted linked list or an unbalanced search tree?
   s done in O(n), i done in O(n).
- A balanced search tree?
   s and i both done in O(log n).
- Python sets or dicts?
   Worst case O(n) for both s and i.



Improved algorithm implemented by Harry Rowan:

#### **def** natmatch(**x**, y):

initialize empty storage
for i in range(len(x)):

c = y - x[i]
if storage.contains(c):
 return [c, x[i]]
 storage.insert(x[i])
return []

O(n) loop operations.

- O(log *n*) time per iteration.

O(n log n) with a balanced tree.

What is the time efficiency? How does it depend on the employed data structure?

How about:

- Unsorted linked list or dyn. array?
   s done in O(n), i done in O(1).
- A sorted dynamic array?
   s done in O(log n), i done in O(n).
- A sorted linked list or an unbalanced search tree?
   s done in O(n), i done in O(n).
- A balanced search tree?
   s and i both done in O(log n).
- Python sets or dicts?
   Worst case O(n) for both s and i.



The cashier problem is specified as follows. The function solving the problem has two arguments:

- 1) first, a natural number, given in the smallest currency unit (*e.g.*, pence), representing an **amount of money** that is to be paid out;
- 2) second, a sorted list with the **values of the existing coin types**, in the same currency unit (we assume that "1" is always among these values).

As the function's return value, we expect a **list containing coin values** that add up to the requested amount; this must be the **shortest possible list**, *i.e.*, we want to use as few coins as possible.

Note that as a precondition it is assumed that the list passed as the function's second argument is already sorted.



#### greedy algorithm

```
def cashier(amount, coin_types):
    coins = []
    remainder = amount
```

while remainder >= coin\_types[0]:

```
for i in range(len(coin_types)-1, -1, -1):
    if remainder >= coin_types[i]:
        coins.append(coin_types[i])
        remainder -= coin_types[i]
        break
return coins
```

```
amount = 12,
coin_types = [1, 2, 5, 10]
    remainder = 12,
       coins = []
     remainder = 2,
      coins = [10]
     remainder = 0,
     coins = [10, 2]
      return [10, 2]
```



#### Condition for the greedy algorithm:

The shortest sum containing coin x never consists of more coins than the shortest equivalent sum containing only coins < x.

```
amount = 12,
       coin_types = [1, 4, 9, 16]
           remainder = 12,
               coins = []
            remainder = 3,
              coins = [9]
            remainder = 2,
             coins = [9, 1]
            remainder = 1,
            coins = [9, 1, 1]
            remainder = 0,
          coins = [9, 1, 1, 1]
compare
           return [9, 1, 1, 1]
[4, 4, 4]
```



greedy algorithm

Let n =amount and k =len(**coin\_types**).

```
def cashier(amount, coin_types):
    coins = []
    remainder = amount
```

O(1) instructions

while remainder >= coin\_types[0]:

Upper bound: O(n) iterations

```
for i in range(len(coin_types)-1, -1, -1): - Upper bound: O(k) iterations
if remainder >= coin_types[i]:
    coins.append(coin_types[i])
    remainder -= coin_types[i]
    break
return coins

- Upper bound: O(k) iterations
- O(1) time on average, for
a well-managed dyn. array.
O(1) time worst case if we
were using a linked list.
```



greedy algorithm

Let n =amount and k =len(**coin\_types**).

def cashier(amount, coin\_types):
 coins = []
 remainder = amount

O(1) instructions

while remainder >= coin\_types[0]:

Upper bound: O(n) iterations

for i in range(len(coin\_types)-1, -1, -1): - Upper bound: O(k) iterations
if remainder >= coin\_types[i]:
 coins.append(coin\_types[i])
 remainder -= coin\_types[i]
 break
return coins



#### Illustration: Dynamic programming algorithm for the cashier problem



If we return a 9-valued coin, the remainder reduces to 3. If we return a 4-valued coin, the remainder reduces to 8. If we return a 1-valued coin, the remainder reduces to 11.



#### Illustration: Dynamic programming algorithm for the cashier problem



A remainder of 12 currency units can be reached using zero coins. A remainder of 3, 8, or 11 can be reached using one coin. A remainder of 2, 4, 7, or 10 can be reached using two coins.



#### Illustration: Dynamic programming algorithm for the cashier problem



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#### Overlapping subproblems, e.g., equivalence of [9, 1, 1], [1, 9, 1], and [1, 1, 9]



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#### Illustration: Dynamic programming algorithm for the cashier problem



This reduces to computing a **BFS spanning tree**,

over a graph with at most *n*+1 nodes,

with node out-degree upper bound of *k*.

Time efficiency O(*kn*), same as for the greedy algorithm.



### Implementing graph data structures

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Neighbour lists, implemented as **adjacency or incidence lists**, are most suitable for **sparse graphs**. Matrix-like data structures are best for **dense graphs**.

dense graphs

sparse graphs







There are a variety of ways of implementing graphs. It depends on the kind of graph and the use case which of them is the most suitable one.





Remark: If the vertices do not have any specific properties at all, there is no strict need to distinguish them from their outgoing adjacency lists.







Most technically relevant use cases work with labelled graphs, where at least the vertices (often also the edges) are associated with a data item, the label.





Remark: This construction is particularly suitable for tree data structures, since trees are by definition sparse graphs, and they normally contain data items.





Instead of singly list data structures, doubly linked data structures can also be used; e.g., with an additional adjacency list pointing to predecessor nodes.



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For adjacency lists or incidence lists, a variety of data structures can be used, *e.g.*, dynamic arrays. They need not be sequential data structures.





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Instead of singly list data structures, the corresponding doubly linked alternatives can always be used; *e.g.*, with links to source nodes and incoming edges.





**Matrix-like data structures** in Python include lists of lists (*i.e.*, 2D dynamic arrays), if the numpy library is used, two-dimensional static arrays. For graphs, the most relevant data structure of this type is the **adjacency matrix**.



adj = [ [0, 1, 1, 0, 0], [0, 0, 0, 1, 0], [1,  $\underline{1}$ , 0, 0, 0], [0, 1, 1, 0,  $\underline{0}$ ], [1, 0, 1, 0, 0] ]

adj[2][1] = **1**, or **True** 

adj[3][4] = **0**, or **False** 



**Matrix-like data structures** in Python include lists of lists (*i.e.*, 2D dynamic arrays), if the numpy library is used, two-dimensional static arrays. For graphs, the most relevant data structure of this type is the **adjacency matrix**.



out of node 0	0],	0,	1,	1,	[0,	adj = [
out of node 1	0],	1,	0,	0,	[0,	
out of node 2	<b>0]</b> ,	0,	0,	1,	[1,	
out of node 3	0],	0,	1,	1,	[0,	
]	0]	0,	1,	0,	[1,	

For a sparse graph, the vast majority of entries in the 2D array/matrix is zero. Adjacency matrices are commonly only used when expecting a **dense graph**.



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